



## Customer

In the framework of our due diligence process required for becoming a shipper, please fill in this form and return this form together with the mandatory supporting documents .

### **Mandatory supporting documents**

Please provide (a translation version in English, French or German, if the original version is not in one of the before-mentioned language) the following documents:

1. The latest commercial registry extract, not older than 3 months.
2. The articles of incorporation of the Company.
3. The statutory and consolidated financial statements of the last 3 fiscal years, including management and auditor's report.
4. If not already included in the financial statements, a memo describing precisely your activities.
5. The powers of attorney of the legal representative who signed this KYC form, and the corresponding passport copy.
6. A full list of current authorized signatories and signature specimen.
7. The structure chart up to and including the Ultimate Parent level as well as the direct and indirect beneficial owners with minimum of 25% aggregate ownership or comparable control rights (in accordance with question 1 and 2 under the "ownership"-section hereafter).

## Company profile

In order to analyse your application, please answer thoroughly and complete all the following questions according to Commercial Registry (questions must be answered for the applicant company only – not its group or shareholder).

1	Company Name	
2	Address	
3	Phone	
4	Email Address	
5	Website	
6	The company is incorporated under the laws of	
7	Registered office	
8	Date of incorporation	
9	City and Registration number	
10	EAN number <sup>1</sup>	
11	EIC number <sup>2</sup>	
12	VAT number	
13	Number of employees	
14	Names of the company's Managing Directors	
15	Main Business	
16	Countries in which your company is active	
17	Requested start date of the Agreement	
18	Main bank name	
19	Main bank address	
20	Account number	
21	IBAN number	
22	BIC code	

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<sup>1</sup> In case your company wants to be active on Distribution Domestic Points in Belgium.

<sup>2</sup> If this applies to your company.

## Identity

Which category does your company belong to (multiple possible answers)

1.  Majority of shares publicly- /state- / municipally owned (>50%) or 100% subsidiary of such a company
2.  Exchange listed / exchange traded corporation or 100% subsidiary of such a company
3.  Private company

## Ownership

1. Who are your direct shareholders/owners, owning more than 25% of your company?

Name	Country of domiciliation	Share (in%)

2. Who are your indirect shareholders (up to the ultimate parent/final beneficiary), owning more than 25% of your company?

Name	Country of domiciliation	Share (in%)

## Anti-money-laundering and financing terrorism

1. Does your company have measures/processes on anti-money-laundering (AML), combatting the financing of terrorism (CFT) and "Know-Your-Customer" (KYC) requirements in place?

Yes  No

2. Does your company regularly train/educate the staff members working in departments responsible for compliance with the relevant AML-, CFT- or KYC- requirements about measures/processes and (if applicable) regulatory requirements?
- Yes     No

## Your trading profile and capability to intervene

1. Is your company already admitted/registered on at least one Regulated Market/Exchange?
- Yes     No

If yes, please provide the following details for all these Regulated Markets/Exchanges:

Regulated Market/Exchange	Start date	Type	
		Directly registered	Via
		Directly registered	Via
		Directly registered	Via
		Directly registered	Via
		Directly registered	Via

2. Does your company trade on other markets (e.g. Multilateral Trade Facility [MTF], Organized Trading Facility [OTF], Over-the-Counter [OTC]) beside the regulated market places/exchanges stated in the answer to the question above?
- Yes     No

If yes, please provide the following details:

Commodity traded (centrally cleared)	Start date

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3. Does your company trade on its own account exclusively?

Yes  No

If no, on who's account does your company trade also?

.....  
 .....

4. Does your trader(s) have adequate qualification/experience for trading energy/energy related products (e.g. trader certification/admission to exchanges/other markets)?

Yes  No

5. Please state on which market segments your company is or wants to become active?

Spot Market	Active	Interest	Futures Market	Active	Interest
CEGH	<input type="checkbox"/>	<input type="checkbox"/>	CEGH	<input type="checkbox"/>	<input type="checkbox"/>
ETF	<input type="checkbox"/>	<input type="checkbox"/>	ETF	<input type="checkbox"/>	<input type="checkbox"/>
GPL	<input type="checkbox"/>	<input type="checkbox"/>	GPL	<input type="checkbox"/>	<input type="checkbox"/>
NBP	<input type="checkbox"/>	<input type="checkbox"/>	NBP	<input type="checkbox"/>	<input type="checkbox"/>
NCG	<input type="checkbox"/>	<input type="checkbox"/>	NCG	<input type="checkbox"/>	<input type="checkbox"/>
OTE	<input type="checkbox"/>	<input type="checkbox"/>	OTE	<input type="checkbox"/>	<input type="checkbox"/>
PEG	<input type="checkbox"/>	<input type="checkbox"/>	PEG	<input type="checkbox"/>	<input type="checkbox"/>
TTF	<input type="checkbox"/>	<input type="checkbox"/>	PSV	<input type="checkbox"/>	<input type="checkbox"/>
ZEE	<input type="checkbox"/>	<input type="checkbox"/>	TTF	<input type="checkbox"/>	<input type="checkbox"/>
ZTP	<input type="checkbox"/>	<input type="checkbox"/>	ZEE	<input type="checkbox"/>	<input type="checkbox"/>
PVB	<input type="checkbox"/>	<input type="checkbox"/>	ZTP	<input type="checkbox"/>	<input type="checkbox"/>
Turkish Market	<input type="checkbox"/>	<input type="checkbox"/>	PVB	<input type="checkbox"/>	<input type="checkbox"/>
Slovak Market	<input type="checkbox"/>	<input type="checkbox"/>	JKM (Liquid Natural Gas)	<input type="checkbox"/>	<input type="checkbox"/>
Henry Hub	<input type="checkbox"/>	<input type="checkbox"/>	Henry Hub	<input type="checkbox"/>	<input type="checkbox"/>

6. Does your company physically supply end customers on the retail segment?

Yes  No

If yes, in which European countries

7. What are your internal facilities dedicated to gas trading?

Gas dedicated facilities	Details
IT solutions / resources / systems / premises	
Backup solutions	
Dedicated trading floor / size	
Other	

## Signature(s)

We authorize Balansys and its affiliated companies to use the information given in this form for internal purposes only.

We declare in good faith that all information, answers and documents provided with this form are exact and authentic. We have omitted no important information that could have an impact on the judgment you will make about our request for admission.

Place	Date	Legally binding signature(s) of the company	
		Signature(s)	
		Name(s)	
		Signature(s)	
		Name(s)	
		Signature(s)	
		Name(s)	

